

PROTECTING INVESTING FINANCING ADVISING

Ref: No. ABCL/SD/MUM/2022-23/OCTOBER/02

6 October 2022

BSE Limited 1st Floor, New Trading Ring, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001 <u>Scrip Code: 540691</u> <u>Scrip ID: ABCAPITAL</u> National Stock Exchange of India Ltd Exchange Plaza, 5th Floor, Plot. C/1, G-Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051 Symbol: ABCAPITAL

Dear Sir/ Madam,

Sub: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations")

Please find enclosed intimation made to the Stock Exchanges by Aditya Birla Finance Limited ("ABFL"), subsidiary of the Company, with reference to SEBI order no. WTM/AB/CFID/CFID_1/20149/2022-23 dated 4 October 2022 imposing penalty of Rs. 1 Crore on ABFL under Section 15HA of the SEBI Act, 1992.

The above is for your information and record.

Thanking you,

Yours sincerely,

For Aditya Birla Capital Limited

Amber Gupta Company Secretary & Compliance Officer Encl.: a/a

Cc: Luxembourg Stock Exchange Market & Surveillance Dept., P.O. Box 165, L-2011 Luxembourg, Grand Duchy of Luxembourg

Citi Bank N.A. Depositary Receipt Services 388 Greenwich Street 14th Floor, New York, NY 10013 **Citi Bank N.A.** Custodial Services FIFC, 11th Floor, C-54 & 55, G Block Bandra Kurla Complex Bandra (East), Mumbai 400 051

Listing Agent Banque Internationale à Luxembourg SA 69 route d'Esch L - 2953 Luxembourg Grand Duchy of Luxembourg

Registered Office Indian Rayon Compound, Veraval- 362 266, Gujarat +91 28762 45711 CIN L67120GJ2007PLC058890



(A part of Aditya Birla Capital Ltd.)



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October 6, 2022

The National Stock Exchange of India Ltd. Listing Department, Wholesale Debt Market Exchange Plaza, 5th Floor, Plot C/1, Bandra-Kurla Complex, Bandra (East) Mumbai- 400051 **BSE Limited** P J Towers Dalal Street, Mumbai -400001 India

Dear Sirs / Madam,

Sub.: Disclosure under Reg. 51 (2) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

This is to inform you that SEBI vide order WTM/AB/CFID/CFID_1/20149/2022-23 dated October 4, 2022 has imposed penalty of Rs. 1 Crore on Aditya Birla Finance Limited ("ABFL" / the Company) under Section 15HA of the SEBI Act, 1992.

The Company is examining the order for any further course of action.

The above is for your information and records.

Thanking You,

Yours faithfully, **For Aditya Birla Finance Limited** ANKUR ANKUR DEEPAK

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Ankur Shah Company Secretary

Aditya Birla Finance Limited

One World Center, Tower 1-C, 18th Floor, 841, Jupiter Mill Compound, Senapati Bapat Marg, Elphinstone Road, Mumbai 400 013. +91 22 4356 7100 | (F) +91 22 4356 7266 | Toll-free number 1800-270-7000 care.finance@adityabirlacapital.com | https://abfl.adityabirlacapital.com

Registered Office:

Indian Rayon Compound, Veraval, Gujarat -362 266 CIN: U65990GJ1991PLC064603

